

Form MGT 9

EXTRACT OF ANNUAL RETURN

As on the Financial Year ended 31.03.2021

Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management & Administration) Rules, 2014

I. REGISTRATION & OTHER DETAILS:-

I	CIN	L29120MP1995PLC009327
ii	Registration Date	21/04/1995
iii	Name of the Company	Shakti Pumps (India) Limited
iv	Category/Sub-category of the Company	Company limited by shares/Indian Non-Government Company
V	Address of the Registered office & contact details	Plot no. 401,402 & 413 Sector III Industrial Area Pithampur - Dist. Dhar-M.P.-454774
Vi	Whether listed company	Yes
Vii	Name , Address & contact details of the Registrar & Transfer Agent, if any	Adroit Corporate Services Pvt. Ltd. 17-20, Jafferbhoy Ind. Estate, 1 st Floor, Makwana Road, Marol Naka, Andheri (E), Mumbai 400059. Tel: +91 (0) 22 42270400 Direct: +91 (0)22 42270423 Fax: +91 (0)22 285037

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated

Sr. no.	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1.	Manufacturing Pumps and Motors	28120	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

S.No.	Name	Address	Description
1	Shakti Pumps USA, LLC	821 West forest Brook Rd Maitland 32751	Wholly Owned Subsidiary
2	Shakti Pumps FZE, UAE	Saifzone Sharjah, UAE.PO BOX	Wholly-Owned Subsidiary
3	Shakti Energy Solution Pvt. Ltd	S-3/4, Industrial Area, Sector III, Pithampur 454001 IN	Wholly-Owned Subsidiary
4	Shakti Pump (Shanghai) Ltd, China	Suite 137, 1 st Floor, No.45 FEI La Road, Shanghai Free Trade Zone, China.	Wholly-Owned Subsidiary
5	Shakti Pumps (Bangladesh) Limited	Avenue Circle -1, 57-57A Gulshan Ave , Dhaka-1212	Subsidiary

SHAREHOLDING PATTERN

(Equity Share Capital Breakup as Percentage of Total Equity)

SHAKTI PUMPS INDIA LIMITED - Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Share	Demat	Physical	Total	% of Total Share	
A. Promoters									
(1) Indian									
a) Individual/HUF	0	0	0	0.00	0	0	0	0.00	0.00
b) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
c) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
d) Bodies Corporates	518994	0	518994	2.82	1450994	0	1450994	7.89	5.07
e) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
f) Directors	5247200	0	5247200	28.55	5330300	0	5330300	29.00	0.45
g) Directors Relatives	3266000	0	3266000	17.77	3266000	0	3266000	17.77	0.00
Sub Total : A(1)	9032194	0	9032194	49.14	10047294	0	10047294	54.66	5.52
(2) Foreign									
a) NRI - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
b) Other - Individuals	0	0	0	0.00	0	0	0	0.00	0.00
c) Bodies Corporates	0	0	0	0.00	0	0	0	0.00	0.00
d) Banks/FI	0	0	0	0.00	0	0	0	0.00	0.00
Sub Total : A(2)	0	0	0	0.00	0	0	0	0.00	0.00
Total Shareholding of Promoters (A)=(A)(1) + (A)(2)	9032194	0	9032194	49.14	10047294	0	10047294	54.66	5.52
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	0	0	0	0.00	0	0	0	0.00	0.00
b) Banks/FI	22380	0	22380	0.12	0	0	0	0.00	-0.12
c) Central Govt.	0	0	0	0.00	0	0	0	0.00	0.00
d) State Govt.	0	0	0	0.00	0	0	0	0.00	0.00
e) Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
f) Insurance Companies	0	0	0	0.00	0	0	0	0.00	0.00
g) FII's	0	0	0	0.00	0	0	0	0.00	0.00
h) Foreign Venture Capital Funds	0	0	0	0.00	0	0	0	0.00	0.00
i) Any Other (Specify)									
i-1) Alternative Investment Fund	779941	0	779941	4.24	440371	0	440371	2.40	-1.85
i-2) Foreign Portfolio Investors	0	0	0	0.00	110848	0	110848	0.60	0.60
Sub Total : B(1)	802321	0	802321	4.37	551219	0	551219	3.00	-1.37

(2) Non - Institutions										
a) Bodies Corporates										
ai) Indian	2127166	0	2127166	11.57	1353379	0	1353379	7.36	-4.21	
aii) Overseas	1636363	0	1636363	8.90	418552	0	418552	2.28	-6.63	
b) Individuals										
bi) Individual Shareholders holding nominal share capital upto Rs. 1 Lakh	3095569	25208	3120777	16.98	3626256	23908	3650164	19.86	2.88	
bii) Individual Shareholders holding nominal share capital in excess of Rs. 1 Lakh	1218913	11400	1230313	6.69	1864523	11400	1875923	10.21	3.51	
c) Any Other (Specify)										
c-1) Investor Education And Protection Fund	20041	0	20041	0.11	21882	0	21882	0.12	0.01	
c-2) Non Resident Indians (Individuals)	287294	0	287294	1.56	318408	0	318408	1.73	0.17	
c-3) Directors	76848	0	76848	0.42	76848	0	76848	0.42	0.00	
c-4) Trusts	1250	0	1250	0.01	0	0	0	0.00	-0.01	
c-5) Clearing Member	45589	0	45589	0.25	66487	0	66487	0.36	0.11	
Sub Total : B(2)	8509033	36608	8545641	46.49	7746335	35308	7781643	42.34	-4.16	
Total Public Shareholding (B)=(B)(1) + (B)(2)	9311354	36608	9347962	50.86	8297554	35308	8332862	45.34	-5.52	
C. Shares held by Custodian for GDRs & ADRs										
a) Promoter & Promoter Group	0	0	0	0.00	0	0	0	0.00	0.00	
b) Public	0	0	0	0.00	0	0	0	0.00	0.00	
Sub Total : (C)(1)	0	0	0	0.00	0	0	0	0.00	0.00	
Total Shareholding of Shares held by Custodian for GDRs & ADRs (C)=(C)(1)	0	0	0	0.00	0	0	0	0.00	0.00	
Grand Total (A + B + C)	18343548	36608	18380156	100.00	18344848	35308	18380156	100.00	0.00	

Shareholding of Promoters

SI No.	Shareholder's Name	No. of Shares held at the beginning of the year			No. of Shares held at the end of the year			% Change during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total	
1	SHAKTI IRRIGATION INDIA LIMITED	0	0.00	0.00	932000	5.07	0.00	5.07
2	ANKIT PATIDAR	1500000	8.16	0.00	1500000	8.16	0.00	0.00
3	DINESH PATIDAR	3685000	20.05	0.00	3768100	20.50	0.00	0.45
4	SEEMA PATIDAR	94000	0.51	0.00	94000	0.51	0.00	0.00
5	VINTEX TOOLS PRIVATE LIMITED	518994	2.82	0.00	518994	2.82	0.00	0.00
6	INDIRA PATIDAR	334000	1.82	0.00	334000	1.82	0.00	0.00
7	PALLAVI PATIDAR	341800	1.86	0.00	341800	1.86	0.00	0.00
8	AISHWARYA PATIDAR	385400	2.10	0.00	385400	2.10	0.00	0.00
9	GEETA PATIDAR	610800	3.32	0.00	610800	3.32	0.00	0.00
10	SUNIL PATIDAR	1562200	8.50	0.00	1562200	8.50	0.00	0.00
	TOTAL	9032194	49.14	0.00	10047294	54.66	0.00	5.52

Change in Promoters' Shareholding (please specify, if there is no change)							
SI No.		Name of Promoter's	As On Date	No.of Shares held at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	SHAKTI IRRIGATION INDIA LIMITED	01-04-20	0	0.00	0	0.00
	Date wise Increase / Decrease in Promoters Share holding during the year		30/06/2020	826400	4.50	826400	4.50
			31/07/2020	105600	0.57	932000	5.07
	At the End of the year		31/03/2021	0	0.00	932000	5.07
2	At the beginning of the year	VINTEX TOOLS PRIVATE LIMITED	01-04-20	518994	2.82	518994	2.82
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	518994	2.82
3	At the beginning of the year	SUNIL PATIDAR	01-04-20	1562200	8.50	1562200	8.50
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	1562200	8.50
4	At the beginning of the year	SEEMA PATIDAR	01-04-20	94000	0.51	94000	0.51
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	94000	0.51
5	At the beginning of the year	DINESH PATIDAR	01-04-20	3685000	20.05	3685000	20.05
	Date wise Increase / Decrease in Promoters Share holding during the year		09/10/2020	83100	0.45	3768100	20.50
	At the End of the year		31/03/2021	0	0.00	3768100	20.50
6	At the beginning of the year	INDIRA PATIDAR	01-04-20	334000	1.82	334000	1.82
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	334000	1.82

7	At the beginning of the year	GEETA PATIDAR	01-04-20	610800	3.32	610800	3.32
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	610800	3.32
8	At the beginning of the year	PALLAVI PATIDAR	01-04-20	341800	1.86	341800	1.86
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	341800	1.86
9	At the beginning of the year	ANKIT PATIDAR	01-04-20	1500000	8.16	1500000	8.16
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	1500000	8.16
10	At the beginning of the year	AISHWARYA PATIDAR	01-04-20	385400	2.10	385400	2.10
	Date wise Increase / Decrease in Promoters Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	385400	2.10

Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

SI No.	For Each of the Top 10 Shareholders	Name of Shareholder's	As On Date	No. of Shares held at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	AFHOLDINGS	01-04-20	1636363	8.90	1636363	8.90
	Date wise Increase / Decrease in Share holding during the year		30/09/2020	-175835	0.96	1460528	7.95
			09/10/2020	-305470	1.66	1155058	6.28
			16/10/2020	-242506	1.32	912552	4.96
			23/10/2020	-404000	2.20	508552	2.77
			30/10/2020	-90000	0.49	418552	2.28
	At the End of the year		31/03/2021	0	0.00	418552	2.28
3	At the beginning of the year	M L SECURITIES AND FINANCE PVT LTD	01-04-20	848994	4.62	848994	4.62
	Date wise Increase / Decrease in Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	848994	4.62
3	At the beginning of the year	RAJAL SECURITIES PRIVATE LIMITED	01-04-20	463400	2.52	463400	2.52
	Date wise Increase / Decrease in Share holding during the year		30/06/2020	-463400	2.52	0	0.00
	At the End of the year		31/03/2021	0	0.00	0	0.00
4	At the beginning of the year	RAMESH CHIMANLAL SHAH	01-04-20	90000	0.49	90000	0.49
	Date wise Increase / Decrease in Share holding during the year		01/05/2020	10000	0.05	100000	0.54
			29/05/2020	2000	0.01	102000	0.55
			05/06/2020	4000	0.02	106000	0.58
			12/06/2020	2000	0.01	108000	0.59
			19/06/2020	10000	0.05	118000	0.64
			26/06/2020	13276	0.07	131276	0.71
			30/06/2020	5999	0.03	137275	0.75
			03/07/2020	1	0.00	137276	0.75
			10/07/2020	19500	0.11	156776	0.85
			17/07/2020	3224	0.02	160000	0.87
			24/07/2020	4420	0.02	164420	0.89
			31/07/2020	35580	0.19	200000	1.09
			07/08/2020	3000	0.02	203000	1.10
			14/08/2020	16847	0.09	219847	1.20
			21/08/2020	34	0.00	219881	1.20
			28/08/2020	9717	0.05	229598	1.25

		04/09/2020	402	0.00	230000	1.25	
		11/09/2020	402	0.00	230402	1.25	
		22/09/2020	4273	0.02	234675	1.28	
		25/09/2020	5325	0.03	240000	1.31	
		16/10/2020	17765	0.10	257765	1.40	
		23/10/2020	22235	0.12	280000	1.52	
		30/10/2020	10000	0.05	290000	1.58	
		20/11/2020	9860	0.05	299860	1.63	
		27/11/2020	140	0.00	300000	1.63	
		31/12/2020	16600	0.09	316600	1.72	
		15/01/2021	19650	0.11	336250	1.83	
		29/01/2021	39150	0.21	375400	2.04	
		05/02/2021	10200	0.06	385600	2.10	
		26/02/2021	1000	0.01	386600	2.10	
		12/03/2021	13700	0.07	400300	2.18	
	At the End of the year	31/03/2021	0	0.00	400300	2.18	
5	At the beginning of the year	VANTAGE EQUITY FUND	01-04-20	400000	2.18	400000	2.18
	Date wise Increase / Decrease in Share holding during the year						
		01/05/2020	-18000	0.10	382000	2.08	
		08/05/2020	-14857	0.08	367143	2.00	
		15/05/2020	-51143	0.28	316000	1.72	
		22/05/2020	-32000	0.17	284000	1.55	
		29/05/2020	-45000	0.24	239000	1.30	
		05/06/2020	-15000	0.08	224000	1.22	
		12/06/2020	-23850	0.13	200150	1.09	
		24/07/2020	-15000	0.08	185150	1.01	
		31/07/2020	-35150	0.19	150000	0.82	
		07/08/2020	-51000	0.28	99000	0.54	
		14/08/2020	-5000	0.03	94000	0.51	
		21/08/2020	-94000	0.51	0	0.00	
	At the End of the year	31/03/2021	0	0.00	0	0.00	
6	At the beginning of the year	SNEHAL BHUPENDRA SHAH	01-04-20	386750	2.10	386750	2.10
	Date wise Increase / Decrease in Share holding during the year						
		14/08/2020	172	0.00	386922	2.11	
		26/03/2021	1000	0.01	387922	2.11	
	At the End of the year	31/03/2021	0	0.00	387922	2.11	
7	At the beginning of the year	VINAY SECURITIES PRIVATE LIMITED	01-04-20	363000	1.97	363000	1.97
	Date wise Increase / Decrease in Share holding during the year						
		30/06/2020	-363000	1.97	0	0.00	
	At the End of the year	31/03/2021	0	0.00	0	0.00	
8	At the beginning of the year	V E C STRATEGIC EQUIT	01-04-20	109850	0.60	109850	0.60
	Date wise Increase / Decrease in Share holding during the year						
		01/05/2020	-2922	0.02	106928	0.58	
		30/09/2020	28700	0.16	135628	0.74	
	At the End of the year	31/03/2021	0	0.00	135628	0.74	

9	At the beginning of the year	BHUPENDRA SHAH	01-04-20	111600	0.61	111600	0.61
	Date wise Increase / Decrease in Share holding during the year		07/08/2020	4828	0.03	116428	0.63
			12/02/2021	500	0.00	116928	0.64
			19/02/2021	-10000	0.05	106928	0.58
			26/02/2021	9350	0.05	116278	0.63
	At the End of the year		31/03/2021	0	0.00	116278	0.63
10	At the beginning of the year	ABLAZE INFOTECH PRIVATE LIMITED	01-04-20	105600	0.57	105600	0.57
	Date wise Increase / Decrease in Share holding during the year		31/07/2020	-105600	0.57	0	0.00
	At the End of the year		31/03/2021	0	0.00	0	0.00
11	At the beginning of the year	V E C STRATEGIC GROWTH FUND II	01-04-20	50000	0.27	50000	0.27
	Date wise Increase / Decrease in Share holding during the year		30/06/2020	18649	0.10	68649	0.37
			11/09/2020	12800	0.07	81449	0.44
	At the End of the year		31/03/2021	0	0.00	81449	0.44
12	At the beginning of the year	RAMESH PATIDAR	01-04-20	76848	0.42	76848	0.42
	Date wise Increase / Decrease in Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	76848	0.42
13	At the beginning of the year	VEC DEEP VALUE FUND	01-04-20	0	0.00	0	0.00
	Date wise Increase / Decrease in Share holding during the year		31/07/2020	10354	0.06	10354	0.06
			07/08/2020	21127	0.11	31481	0.17
			14/08/2020	8850	0.05	40331	0.22
			04/09/2020	2600	0.01	42931	0.23
			11/09/2020	5330	0.03	48261	0.26
			18/09/2020	5400	0.03	53661	0.29
			25/09/2020	117	0.00	53778	0.29
			30/09/2020	23050	0.13	76828	0.42
	At the End of the year		31/03/2021	0	0.00	76828	0.42
14	At the beginning of the year	PRANAY SNEHAL SHAH	01-04-20	75800	0.41	75800	0.41
	Date wise Increase / Decrease in Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	75800	0.41

Shareholding of Directors and Key Managerial Personal							
Sl No.		Name of Shareholder's	As On Date	Shareholding at the beginning of the year		Cumulative Shareholding during the	
				No. of Shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	RAMESH PATIDAR	01-04-20	76848	0.42	76848	0.42
	Date wise Increase / Decrease in Share holding during the year			NIL	NIL		
	At the End of the year		31/03/2021	0	0.00	76848	0.42

V. INDEBTEDNESS: -**Indebtedness of the Company including interest outstanding/accrued but not due for payment****Rs. In Lacs**

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year 2020-21				
i) Principal Amount	14,730.42	3039.45	0.00	17769.87
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	14,730.42	3039.45	0.00	17769.87
Change in Indebtedness during the financial year	-	-	-	-
Addition	96.89	16.58	0.00	113.47
Reduction	8128.03	1000.00	0.00	9128.03
Net Change	8031.14	983.42	0.00	9014.56
Indebtedness at the end of the financial year 2020-21				
i) Principal Amount	6699.28	2056.03	0.00	8755.31
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	6699.28	2056.03	0.00	8755.31

VI. REMUNERATION OF DIRECTOR AND KMP**A. Remuneration to Managing Director, Whole-time Directors and/or Manager: -**

S.No.	Particular of Remuneration	Mr. Dinesh Patidar, Managing Director	Mr. Sunil Patidar Whole-time Director	Mr. Ramesh Patidar Whole time Director	Total
1.	Gross Salary				
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	4,50,00,000	9,65,000	32,32,750	4,91,97,750
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	-	-	-	-
	(c) Profits in lieu of Salary under Section 17(3) Income Tax Act, 1961	-	-	-	-
2.	Stock Options	-	-	-	-

3.	Sweat Equity	-	-	-	-
4.	Commission	4,06,04,882	-	-	4,06,04,882
	- as % of profit	-	-	-	-
	- others, specify.	-	-	-	-
5.	Others, Education Allowances	-	65,000	1,52,500	2,17,500
	Total	8,56,04,882	10,30,000	33,85,250	9,00,20,132
	Ceiling as per the Act	N.A.			

B. Remuneration to other Directors: -

1. Independent Directors: No remuneration was paid to independent directors

S.No.	Particular of Remuneration	Mr. Navin S Patwa	Mr. Pramod Kumar Bhavsar	Mrs. Nishtha Neema	Mr. Keyur Bipinchandra Taker	Total
1	-Fee for attending Board/ Committee Meetings	2,00,000	2,00,000	2,00,000	2,00,000	8,00,000
2	-Commission	Nil	Nil	Nil	Nil	Nil
3	- Others, please specify	Nil	Nil	Nil	Nil	Nil
	Total B.1	2,00,000	2,00,000	2,00,000	2,00,000	8,00,000
	Ceiling as per the Act:-NA					

2. Other Non-Executive Directors: - No remuneration was paid to other Non-Executive Directors

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/ MANAGER/ WTD

S.No.	Particular of Remuneration	Mr. Ravi Patidar CS	Mr. Dinesh Patel CFO	Total
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961.	9,91,982	15,98,058	25,90,040
	(b) Value of perquisites under Section 17(2) Income Tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under Section 17(3) Income Tax Act, 1961	-	-	-
2	Stock Options	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- Others, specify	-	-	-
5	Others, please specify	-	-	-
	Total C	9,91,982	15,98,058	25,90,040

	Ceiling as per the Act	N.A.
	Total Managerial Remuneration = (A+B+C)	
	Overall Ceiling as per the Act	N.A.

VII. PENALTY/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	AUTHORITY [RD / NCLT / COURT]	Appeal made, if any (give details)
A. COMPANY					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
B. DIRECTOR					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
C. OTHER OFFICER IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

There were no penalties, punishments, compounding of offences for the year ended March 31, 2021.

For and on behalf of the Board of Directors

For Shakti Pumps (India) Limited

Ravi Patidar
Company Secretary
M. No. A32328